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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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 TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/547,999	
	Filing Date	September 7, 2006	
	First Named Inventor	PORTNOY, DANIEL A.	
	Group Art Unit	1645	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	4	Attorney Docket Number	BERK-017CIP
ENCLOSURES (check all that apply)			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement SB08A form (total 3 pgs.) <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard	
Remarks			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Signing Attorney/Agent (Reg. No.)	EDWARD J. BABA, 52,581 BOZICEVIC, FIELD & FRANCIS, LLP		
Signature			
Date	February 2, 2007		

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT Address to: Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	BERK-017CIP
	First Named Inventor	PORTNOY, DANIEL A.
	Application Number	10/547,999
	Confirmation No.	6366
	Filing Date	September 7, 2006
	Group Art Unit	1645
	Examiner Name	Not yet assigned
	Title: "SITE SPECIFIC LISTERIA INTEGRATION VECTORS AND METHODS FOR USING THE SAME"	

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

All of the references identified herein were disclosed in parent application serial number 10/136,860 filed 04/30/2002 and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).


This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. § 102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. BERK-017CIP may be charged thereon.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Date: Feb. 2, 2007

By: 
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Registration No. 52,581

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1041-10

(Use as many sheets as necessary)

Sheet

1

of

2

Application Number

10/547,999

Filing Date

September 7, 2006

First Named Inventor

PORTNOY, DANIEL A.

Art Unit

1645

Examiner Name

Attorney Docket Number

BERK-017CIP

[illegible]

Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 99/25376	05-27-1999	Frankel, et al.		
		WO 00/09733	02-24-2000	Portnoy, et al.		
		WO 99/07861	02-18-1999	Frazao, et al.		
		WO 02/08431	01-31-2002	Francis, et al.		

Date
Considered

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/547,999	
			Filing Date	September 7, 2006	
			First Named Inventor	PORTNOY, DANIEL A.	
			Art Unit	1645	
Examiner Name					
Sheet	2	of	2	Attorney Docket Number	BERK-017CIP

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		LAUER et al "Construction, Characterization, and Use of Two <i>Listeria Monocytogenes</i> Site-Specific Phage Intergration Vectors" Journal of Bacteriology, August 2002, Vol. 184. NO15, pps4177-4186	
		SCHAFERKODT et al. "Vector Plasmid for Insertional Mutagenesis and Directional Cloning in <i>Listeria spp</i> " BioTechniques, 1995 Vol. 19 Number 5, pages 720-725	
		FORTINEAU et al "Optimization of Green Fluorescent Protein Expression Vectors for InVitro and In Vivo Detection of <i>Listeria Monocytogenes</i> " Res. Microbiol. 2000, Vol. 151. pp. 353-360	
		REITER et al. "Transfer RNA Genes Frequently Serve As Integration Sites For Prokaryotic Genetic Elements" Nucleic Acids Research, 1989, Vol. 17, No. 5. pp. 1907-1914	
		SCOTT et al. "Conjugative Transposition" Annu. Rev, Microbiol. 1995, Vol. 49, pp. 367-397	
		SHEN et al. "Recombinant <i>Listeria monocytogenes</i> as a live vaccine vehicle for the induction of protective anti-viral cell-mediated immunity." Proc. Nat'l Acad. Sci. ,USA, April 1995, Volume 92, Number 9, pages 3987-3991.	
		LOESSNER et al. (Molecular Microbiology, 35(2):324-340, 2000)	
		HODGSON et al. (Molecular Microbiology, 35(2):312-323, 2000)	
		AUTRET, et al. "Identification of New Genes Involved In the Virulence of <i>Listeria monocytogenes</i> by Signature-Tagged Transposon Mutagenesis," (2001) 69(4):2054-2065.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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